

Tock-2 Predictions: Second-Order Event Spaces

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2026-01-31

Abstract

We make concrete predictions about the second-order Event Spaces that should emerge from the augmented model trained in tick-1. These predictions are testable once training completes. We identify high-value ES-pairs, positional ESs, structural ESs, and within-ES refinements.

1 Setup

After tick-1, the model has:

- Input: 261 dimensions (256 bytes + 5 ES features)
- First-order ESs: Digits, Vowels, Whitespace, Punct, Other
- Training: enwik9 (1B chars), 3 epochs

Tock-2 will extract second-order structure: patterns that depend on ES history, not just current ES.

2 ES-Pair Predictions

The joint space $ES_{\text{prev}} \times ES_{\text{next}}$ has $5 \times 5 = 25$ cells. Not all are equally informative.

2.1 High-Value ES-Pairs

Prediction 1 (Dominant ES-Pairs). *The following ES-pairs will have the highest mutual information with next-byte:*

Pair	Frequency	Structure
$Other \rightarrow Vowel$	High	Consonant clusters end; vowel distribution highly non-uniform (context-dependent)
$Vowel \rightarrow Other$	High	Post-vowel consonants are constrained (“th”, “ng”, “st” patterns)
$Whitespace \rightarrow Other$	Medium	Word-initial consonants (<i>t</i> , <i>w</i> , <i>a</i> , <i>s</i> dominate)
$Other \rightarrow Whitespace$	Medium	Word-final consonants (<i>e</i> , <i>d</i> , <i>s</i> , <i>n</i> , <i>t</i> dominate)
$Punct \rightarrow Whitespace$	Medium	Sentence/clause boundaries
$Digit \rightarrow Digit$	Low	Year patterns (19xx, 20xx)

Prediction 2 (Low-Value ES-Pairs). *These pairs will show near-uniform within-ES distributions:*

- *Whitespace → Whitespace (rare, mostly uniform)*
- *Vowel → Vowel (rare in English, “aa”, “oo” uncommon)*
- *Digit → Vowel (very rare)*

2.2 Quantitative Predictions

Prediction 3 (ES-Pair Entropy Reduction). *For high-value pairs, conditional entropy will be significantly below unconditional:*

Pair	$H(\text{byte} \text{ES})$	$H(\text{byte} \text{pair})$	Reduction
<i>Other → Vowel</i>	2.06	~1.2	~0.8 bits
<i>Whitespace → Other</i>	4.60	~2.5	~2.1 bits
<i>Other → Whitespace</i>	0.32	~0.1	~0.2 bits

3 Positional ES Predictions

Position within word is a natural second-order ES.

Hypothesis 1 (Word Position ES). *The model will learn implicit representations for:*

- **Word-initial:** *First character after Whitespace*
- **Word-medial:** *Characters with Other/Vowel on both sides*
- **Word-final:** *Last character before Whitespace*

Prediction 4 (Position-Dependent Distributions). *Character distributions vary dramatically by position:*

Position	Dominant characters
Word-initial	<i>t (“the”), a (“and”), w (“was”), s (“she”), capitals</i>
Word-medial	<i>e, a, i, o, n, r, s, t (high-frequency letters)</i>
Word-final	<i>e (silent e), s (plural), d (past tense), n, t</i>

Prediction 5 (Positional Neurons). *We will find hidden neurons that activate specifically for:*

- $h_i \approx 1$ iff $\text{position} = \text{word-initial}$
- $h_j \approx 1$ iff $\text{position} = \text{word-final}$

These form a 3-way positional ES.

4 Structural ES Predictions (XML)

enwik9 is Wikipedia XML. The model should learn XML-specific structure.

Hypothesis 2 (XML Context ES). *The model will distinguish:*

- **Tag-name:** Characters after “<” or “< /”
- **Attribute-name:** Characters after whitespace inside tag
- **Attribute-value:** Characters after “=” inside tag
- **Text content:** Characters outside tags
- **Entity:** Characters after “&”

Prediction 6 (Tag-Name Distribution). *After “<”, the character distribution is highly constrained:*

Character	Probability
/	~0.45 (closing tag)
t	~0.15 (text, title, table)
r	~0.08 (ref, revision)
p	~0.06 (page, p)
!	~0.05 (comment)

This gives $H(\text{char} | “<”) \approx 2.5 \text{ bits}$ vs $H(\text{char}) \approx 4.5 \text{ bits}$.

Prediction 7 (Entity Distribution). *After “&”, only a few patterns occur:*

- “amp;” (ampersand)
- “lt;” (less than)
- “gt;” (greater than)
- “quot;” (quote)
- “#” (numeric entity)

$H(\text{char} | “&”) \approx 1.5 \text{ bits}$.

5 Within-ES Refinement Predictions

The “Other” ES (205 bytes) is too coarse. It should split.

Hypothesis 3 (Other ES Splits). *The Other ES will naturally factor into:*

1. **High-frequency consonants:** {t, n, s, r, h, l, d, c, m, f, p, g, b, w, y, v, k}
2. **Low-frequency consonants:** {j, x, q, z}
3. **Uppercase letters:** {A-Z} \ {A, E, I, O, U}
4. **XML special:** {<, >, /, =, &, ;}

5. *Other punctuation: remaining*

	<i>Sub-ES</i>	<i>Size</i>	<i>H_{max}</i>
	<i>High-freq consonants</i>	17	4.09 bits
Prediction 8 (Sub-ES Sizes).	<i>Low-freq consonants</i>	4	2.00 bits
	<i>Uppercase consonants</i>	21	4.39 bits
	<i>XML special</i>	6	2.58 bits
	<i>Other</i>	~157	7.29 bits

6 Bigram Pattern Predictions

Certain bigrams within “Other” are highly predictive.

Prediction 9 (Special Bigrams). *These bigram contexts will show entropy reduction > 2 bits:*

<i>Context</i>	<i>Next char</i>	<i>Entropy</i>
“th”	<i>vowel (e dominates)</i>	~1.0 bit
“qu”	<i>always u</i>	0 bits
“wh”	<i>vowel (a, e, i, o)</i>	~1.5 bits
“ch”	<i>vowel or consonant</i>	~2.0 bits
“sh”	<i>vowel (e, o, a)</i>	~1.5 bits
“ng”	<i>vowel or space</i>	~1.5 bits

7 Testing Protocol

7.1 After Tick-1 Completes

1. **ES-Pair Analysis:** Compute $H(\text{byte}|\text{ES}_{\text{prev}}, \text{ES}_{\text{curr}})$ for all 25 pairs
2. **Neuron Probing:** Find neurons that correlate with positional/structural features
3. **Context Analysis:** Run extended context analysis with ES-pair conditioning
4. **Clustering:** Cluster hidden activations to discover implicit sub-ESs

7.2 Success Criteria

<i>Prediction</i>	<i>Test</i>
ES-pairs reduce entropy	Measure $H(\text{byte} \text{pair}) < H(\text{byte} \text{ES})$
Positional neurons exist	Find h_i with > 0.8 correlation to position
XML context learned	$H(\text{char} \text{"<"}) < 3$ bits
Other ES splits	Clustering gives > 3 coherent sub-groups

8 Expected Outcome

If predictions hold, tock-2 will yield:

- 6–10 high-value ES-pairs (from 25 possible)

- 3 positional ESs (initial, medial, final)
- 4–5 structural ESs (tag, attribute, text, entity, comment)
- 3–5 sub-ESs within Other

Total second-order ESs: \sim 15–20, explaining an additional \sim 1–2 bpc beyond first-order.

References

- [1] Claude & MJC (2026). Tick: Training with Factored Event Spaces. This archive.
- [2] Claude & MJC (2026). Quotient Spaces as Bias. This archive.