

# Tock-2 Predictions: Second-Order Event Spaces

Claude (Opus 4.5) and MJC

2026-01-31

## Abstract

We make concrete predictions about the second-order Event Spaces that should emerge from the augmented model trained in tick-1. These predictions are testable once training completes. We identify high-value ES-pairs, positional ESs, structural ESs, and within-ES refinements.

## 1 Setup

After tick-1, the model has:

- Input: 261 dimensions (256 bytes + 5 ES features)
- First-order ESs: Digits, Vowels, Whitespace, Punct, Other
- Training: enwik9 (1B chars), 3 epochs

Tock-2 will extract second-order structure: patterns that depend on ES history, not just current ES.

## 2 ES-Pair Predictions

The joint space  $ES_{\text{prev}} \times ES_{\text{next}}$  has  $5 \times 5 = 25$  cells. Not all are equally informative.

### 2.1 High-Value ES-Pairs

**Prediction 1** (Dominant ES-Pairs). *The following ES-pairs will have the highest mutual information with next-byte:*

<i>Pair</i>	<i>Frequency</i>	<i>Structure</i>
<i>Other <math>\rightarrow</math> Vowel</i>	<i>High</i>	<i>Consonant clusters end; vowel distribution highly non-uniform (context-dependent)</i>
<i>Vowel <math>\rightarrow</math> Other</i>	<i>High</i>	<i>Post-vowel consonants are constrained (“th”, “ng”, “st” patterns)</i>
<i>Whitespace <math>\rightarrow</math> Other</i>	<i>Medium</i>	<i>Word-initial consonants (t, w, a, s dominate)</i>
<i>Other <math>\rightarrow</math> Whitespace</i>	<i>Medium</i>	<i>Word-final consonants (e, d, s, n, t dominate)</i>
<i>Punct <math>\rightarrow</math> Whitespace</i>	<i>Medium</i>	<i>Sentence/clause boundaries</i>
<i>Digit <math>\rightarrow</math> Digit</i>	<i>Low</i>	<i>Year patterns (19xx, 20xx)</i>

**Prediction 2** (Low-Value ES-Pairs). *These pairs will show near-uniform within-ES distributions:*

- *Whitespace  $\rightarrow$  Whitespace (rare, mostly uniform)*
- *Vowel  $\rightarrow$  Vowel (rare in English, “aa”, “oo” uncommon)*
- *Digit  $\rightarrow$  Vowel (very rare)*

## 2.2 Quantitative Predictions

**Prediction 3** (ES-Pair Entropy Reduction). *For high-value pairs, conditional entropy will be significantly below unconditional:*

<i>Pair</i>	<i><math>H(\text{byte} \text{ES})</math></i>	<i><math>H(\text{byte} \text{pair})</math></i>	<i>Reduction</i>
<i>Other <math>\rightarrow</math> Vowel</i>	<i>2.06</i>	<i><math>\sim 1.2</math></i>	<i><math>\sim 0.8</math> bits</i>
<i>Whitespace <math>\rightarrow</math> Other</i>	<i>4.60</i>	<i><math>\sim 2.5</math></i>	<i><math>\sim 2.1</math> bits</i>
<i>Other <math>\rightarrow</math> Whitespace</i>	<i>0.32</i>	<i><math>\sim 0.1</math></i>	<i><math>\sim 0.2</math> bits</i>

## 3 Positional ES Predictions

Position within word is a natural second-order ES.

**Hypothesis 1** (Word Position ES). *The model will learn implicit representations for:*

- **Word-initial:** *First character after Whitespace*
- **Word-medial:** *Characters with Other/Vowel on both sides*
- **Word-final:** *Last character before Whitespace*

**Prediction 4** (Position-Dependent Distributions). *Character distributions vary dramatically by position:*

<i>Position</i>	<i>Dominant characters</i>
<i>Word-initial</i>	<i>t (“the”), a (“and”), w (“was”), s (“she”), capitals</i>
<i>Word-medial</i>	<i>e, a, i, o, n, r, s, t (high-frequency letters)</i>
<i>Word-final</i>	<i>e (silent e), s (plural), d (past tense), n, t</i>

**Prediction 5** (Positional Neurons). *We will find hidden neurons that activate specifically for:*

- *$h_i \approx 1$  iff position = word-initial*
- *$h_j \approx 1$  iff position = word-final*

*These form a 3-way positional ES.*

## 4 Structural ES Predictions (XML)

enwik9 is Wikipedia XML. The model should learn XML-specific structure.

**Hypothesis 2** (XML Context ES). *The model will distinguish:*

- **Tag-name:** Characters after “<” or “< /”
- **Attribute-name:** Characters after whitespace inside tag
- **Attribute-value:** Characters after “=” inside tag
- **Text content:** Characters outside tags
- **Entity:** Characters after “&”

**Prediction 6** (Tag-Name Distribution). *After “<”, the character distribution is highly constrained:*

Character	Probability
/	$\sim 0.45$ (closing tag)
t	$\sim 0.15$ (text, title, table)
r	$\sim 0.08$ (ref, revision)
p	$\sim 0.06$ (page, p)
!	$\sim 0.05$ (comment)

*This gives  $H(\text{char} | “<”) \approx 2.5$  bits vs  $H(\text{char}) \approx 4.5$  bits.*

**Prediction 7** (Entity Distribution). *After “&”, only a few patterns occur:*

- “amp;” (ampersand)
- “lt;” (less than)
- “gt;” (greater than)
- “quot;” (quote)
- “#” (numeric entity)

*$H(\text{char} | “&”) \approx 1.5$  bits.*

## 5 Within-ES Refinement Predictions

The “Other” ES (205 bytes) is too coarse. It should split.

**Hypothesis 3** (Other ES Splits). *The Other ES will naturally factor into:*

1. **High-frequency consonants:**  $\{t, n, s, r, h, l, d, c, m, f, p, g, b, w, y, v, k\}$
2. **Low-frequency consonants:**  $\{j, x, q, z\}$
3. **Uppercase letters:**  $\{A-Z\} \setminus \{A, E, I, O, U\}$
4. **XML special:**  $\{<, >, /, =, \&, ;\}$

5. *Other punctuation: remaining*

	<i>Sub-ES</i>	<i>Size</i>	<i>H<sub>max</sub></i>
<b>Prediction 8</b> (Sub-ES Sizes).	<i>High-freq consonants</i>	17	4.09 bits
	<i>Low-freq consonants</i>	4	2.00 bits
	<i>Uppercase consonants</i>	21	4.39 bits
	<i>XML special</i>	6	2.58 bits
	<i>Other</i>	~157	7.29 bits

## 6 Bigram Pattern Predictions

Certain bigrams within “Other” are highly predictive.

**Prediction 9** (Special Bigrams). *These bigram contexts will show entropy reduction  $> 2$  bits:*

<i>Context</i>	<i>Next char</i>	<i>Entropy</i>
“th”	vowel ( <i>e</i> dominates)	~1.0 bit
“qu”	always <i>u</i>	0 bits
“wh”	vowel ( <i>a, e, i, o</i> )	~1.5 bits
“ch”	vowel or consonant	~2.0 bits
“sh”	vowel ( <i>e, o, a</i> )	~1.5 bits
“ng”	vowel or space	~1.5 bits

## 7 Testing Protocol

### 7.1 After Tick-1 Completes

1. **ES-Pair Analysis:** Compute  $H(\text{byte}|\text{ES}_{\text{prev}}, \text{ES}_{\text{curr}})$  for all 25 pairs
2. **Neuron Probing:** Find neurons that correlate with positional/structural features
3. **Context Analysis:** Run extended context analysis with ES-pair conditioning
4. **Clustering:** Cluster hidden activations to discover implicit sub-ESs

### 7.2 Success Criteria

Prediction	Test
ES-pairs reduce entropy	Measure $H(\text{byte} \text{pair}) < H(\text{byte} \text{ES})$
Positional neurons exist	Find $h_i$ with $> 0.8$ correlation to position
XML context learned	$H(\text{char} \text{“} < \text{”}) < 3$ bits
Other ES splits	Clustering gives $> 3$ coherent sub-groups

## 8 Expected Outcome

If predictions hold, tock-2 will yield:

- 6–10 high-value ES-pairs (from 25 possible)

- 3 positional ESs (initial, medial, final)
- 4–5 structural ESs (tag, attribute, text, entity, comment)
- 3–5 sub-ESs within Other

Total second-order ESs:  $\sim 15$ – $20$ , explaining an additional  $\sim 1$ – $2$  bpc beyond first-order.

## References

- [1] Claude & MJC (2026). Tick: Training with Factored Event Spaces. This archive.
- [2] Claude & MJC (2026). Quotient Spaces as Bias. This archive.